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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: MAYER et al. Examiner: Unassigned  
Serial No.: 10/808,200 Group Art Unit: 2661  
Filed: 3/24/2004 Docket No.: NOKM.090PA  
Title: SYSTEM AND METHOD FOR ENFORCING POLICIES DIRECTED TO  
SESSION-MODE MESSAGING

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 3, 2004.

By: Tracey M. Dotter  
Tracey M. Dotter

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted either within three months of the filing date or before the first Office Action of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), and the 05 August 2003 Official Gazette Notice, only a copy of each foreign document or non-U.S. patent/application listed on the enclosed Form 1449 is provided.

Please note that any notations or markings on the attached documents do not reflect particular relevance, or lack thereof, to the present application, nor were they necessarily made by anyone affiliated with the prosecution of the present application.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Crawford Maunu PLLC  
1270 Northland Drive  
Suite 390  
St. Paul, MN 55120  
651/686-6633

Date: Nov. 4, 2004

By: 

Steven R. Funk  
Reg. No. 37,830

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
/H.S./	January 2003	Marshall, "Private Session Initiation Protocol (SIP) Extensions for Media Authorization", January 2003.					
/H.S./	June 30, 2003	Campbell et al., "Instant Message Sessions in SIMPLE", June 30, 2003.					
/H.S./	October 23, 2003	Campbell et al., "The Message Session Relay Protocol", October 23, 2003.					
/H.S./	June 2002	Rosenberg et al., "SIP: Session Initiation Protocol", June 2002.					
/H.S./	August 2003	3 <sup>rd</sup> Generation Partnership Project, "Policy control over Gq interface", Release 6, 3GPP TS 29.209 0.0.1, August 2003.					
/H.S./	December 2003	3 <sup>rd</sup> Generation Partnership Project, "Network architecture", Release 6, 3GPP TS 23.002 V6.3.0, December 2003.					
/H.S./	January 2004	3 <sup>rd</sup> Generation Partnership Project, "End-to-end Quality of Service (QoS) concept and architecture", Release 6, 3GPP TS 23.207 V6.1.1, January 2004.					
/H.S./	June 2003	3 <sup>rd</sup> Generation Partnership Project, "IP Multimedia Subsystem (IMS)", Release 6, 3GPP TS 23.228 V6.2.0, June 2003.					
/H.S./	January 2004	3 <sup>rd</sup> Generation Partnership Project, "IP Multimedia Subsystem (IMS)", Release 6, 3GPP TS 23.228 V6.4.1, January 2004.					
/H.S./	June 2003	3 <sup>rd</sup> Generation Partnership Project, "Session Initiation Protocol (SIP) and Session Description Protocol (SDP)", Release 6, 3GPP TS 24.229 V5.5.0, June 2003.					
/H.S./	December 2002	Campbell et al., "Session Initiation Protocol (SIP) Extension for Instant Messaging", December 2002.					
/H.S./	December 2003	3 <sup>rd</sup> Generation Partnership Project, "Policy control over Gq interface", Release 6, 3GPP TS 29.207 V5.6.0, December 2003.					

\*Substitute Disclosure Statement Form (PTO-1449)